

HUB 03

Governance & Regulatory

Ofsted readiness, safeguarding, governance, DfE compliance, QIP, board management, funding scrutiny and financial submissions.

FROM CHAOS TO CONFIDENCE

The problems with running an apprenticeship provider: **solved.**

Providers spend too much time chasing data from systems that weren't built to talk to each other. AiVII fixes that. Real-time visibility, encoded sector knowledge and AI-assisted workflows: one platform that does the thinking so leaders can focus on improvement.

— THE PROBLEM TODAY

Data scattered across spreadsheets

Teams pull data from your MIS, BI tools and homemade trackers. By the time the report's ready, the situation has changed.

At-risk learners surface too late

Withdrawals show up in monthly reports after they've happened. Coaches have no early signal.

Ofsted prep is reactive

When the call comes, leaders scramble to assemble evidence, sample learners and rehearse deep dives.

Funding leaks invisible until audit

Past-PED, withdrawals, gateway delays and OPP recovery all happen in spreadsheets: if they happen at all.

— THE AIVII SOLUTION

One platform, real-time

Connect your MIS once. Every dashboard, tracker and report reads the same live data. No exports, no end-of-month delay.

Early-warning indicators, encoded

AiVII Risk Rating surfaces learners drifting off track weeks before they'd trigger your LMS alerts. Daily Operations shows what changed overnight.

Always Ofsted-ready

Self-Evaluation, evidence library, deep dive prep and AI Ofsted Companion mean inspection readiness is a state, not a sprint.

Funding recovery, in formulas

ROI tracking covers Past PED, At-Risk OPPs Recovered, Gateway Timely % and Manual Data Work: with the calculations that map to ESFA recoveries.

PLATFORM AT A GLANCE

Three menus. One coherent platform.

AiVII organises everything around three top-level menus, with role-aware filtering so each user only sees what's relevant. Standard roles cover Senior Leader, Operations Leader, Quality Leader, Operations Manager, Quality Manager, Internal Quality Assurer and Skills Coach.

<p>01</p> <h2>Reporting & Analytics</h2> <p>7 sub-menus · ~30 pages</p> <p>Provider Performance Performance Snapshot · QAR · Accountability Framework</p> <hr/> <p>Operations Daily Operations · Skills Coach Dashboard · Coach Performance & Capacity · Trainer Dashboard · Learner Outlook</p> <hr/> <p>Quality & Curriculum QA · EPA · EDI Achievers · Observations & Sampling Reports · Activity & Programme · Lifecycle Analytics</p> <hr/> <p>Compliance Compliance & ILR Suite</p> <hr/> <p>Sales Sales Analytics · Employer Intelligence · Applicant Overview</p> <hr/> <p>Finance Funding Overview · Funding Forecasting · Learner Numbers</p> <hr/> <p>Learner & Employer Learner Detail (360) · Employer Detail · Performance · Location</p>	<p>02</p> <h2>Delivery Management</h2> <p>4 sub-menus · ~20 pages</p> <p>Operations Operations Trackers Suite (Gateways · Withdrawals & BIL · On Break · FS Support · ALS · In EPA) · Training Module</p> <hr/> <p>Quality Quality Reviews Suite (Observations · Sampling · Standardisation · IQA Configuration · Feedback)</p> <hr/> <p>Compliance Audit Centre (Funding Audit · PDSAT · FIS): add-on</p> <hr/> <p>Sales Pipeline · Targets · Salesperson Allocations</p>	<p>03</p> <h2>Governance & Regulatory</h2> <p>3 sub-menus · ~15 pages</p> <p>Ofsted Ofsted Readiness · Self Evaluation Form · Learning Walks · Case Sampling · Evidence Library · Scenario Practice</p> <hr/> <p>Safeguarding Safeguarding Hub · Log · Risk Assessments · Staff Register · Risk Register</p> <hr/> <p>Governance Governance Dashboard · DfE Compliance · Quality Improvement Plan · Board Management · Funding Scrutiny · Subcontracting · Risk Mgmt · Financial Submissions · Policy Repository</p>
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— SECTION 03

Governance & Regulatory

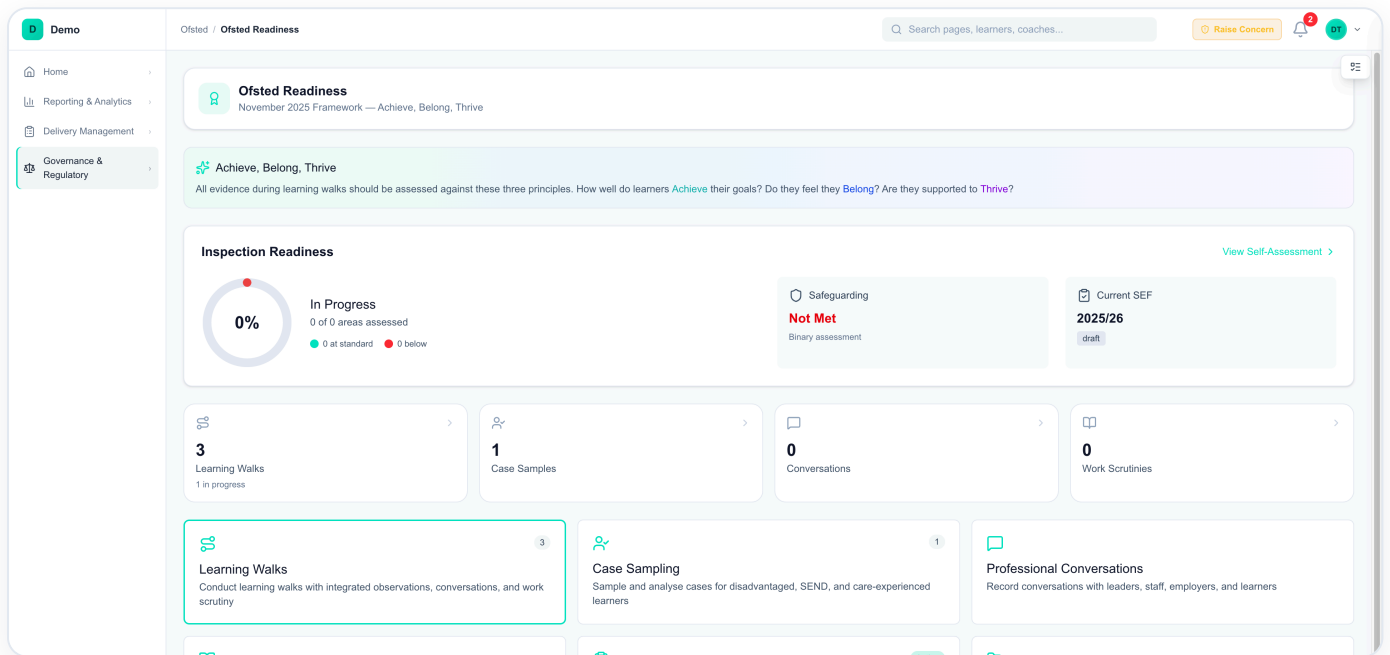
Ofsted readiness, safeguarding, governance and DfE compliance: encoded in workflows, evidence trails and AI-assisted preparation, not chased in spreadsheets.

- Ofsted Readiness CONTROL + AI
- Safeguarding Hub CONTROL
- DfE Compliance CONTROL
- Self Evaluation Form CONTROL
- Quality Improvement Plan CONTROL + AI
- Board Management CONTROL
- Ofsted Preparation Tools CONTROL
- Governance Dashboard CONTROL
- Financial Submissions CONTROL

OFSTED READINESS · HEADLINER

Inspection-ready, every day. Aligned to Achieve, Belong, Thrive.

Ofsted Readiness is the landing page leaders open before every governance meeting. A Readiness Scorecard captures overall completion %, areas at / below standard, safeguarding status, current Self Evaluation Form version, last inspection date and grade, and next expected inspection. **10 module cards** link to every prep tool. AI Ofsted Companion (ControlAI) powers Deep Dive Prep with dynamic question generation against the November 2025 framework.



01 WHAT IT ANSWERS

- What's our overall readiness against the Nov 2025 framework?
- Which areas are at / above standard, which need attention?
- What's our safeguarding status right now?
- When's the next expected inspection?
- Which module needs progress this month?

03 AI FEATURES (CONTROLAI)

- **AI Deep Dive Prep:** dynamic question generation per curriculum area
- **Evidence guidance** auto-suggested per area + grade
- **Learner impact statements** AI-synthesised from your data
- Powers the Scenario Practice and Deep Dive modules

02 KEY METRICS SHOWN

- **Learning Walks** total + in-progress
- **Conversations** count
- **Overall Readiness %** circular progress
- **Areas at/above Standard** green count
- **Safeguarding Status** Met / Not Met
- **Previous Inspection** date + overall grade

12+ INDICATORS

- **Case Samples** total + completed
- **Work Scrutinies** total + samples
- **Areas Assessed** X of Y graded
- **Areas Below Standard** red count
- **Current SEF** academic year + status
- **Next Expected Inspection** date badge

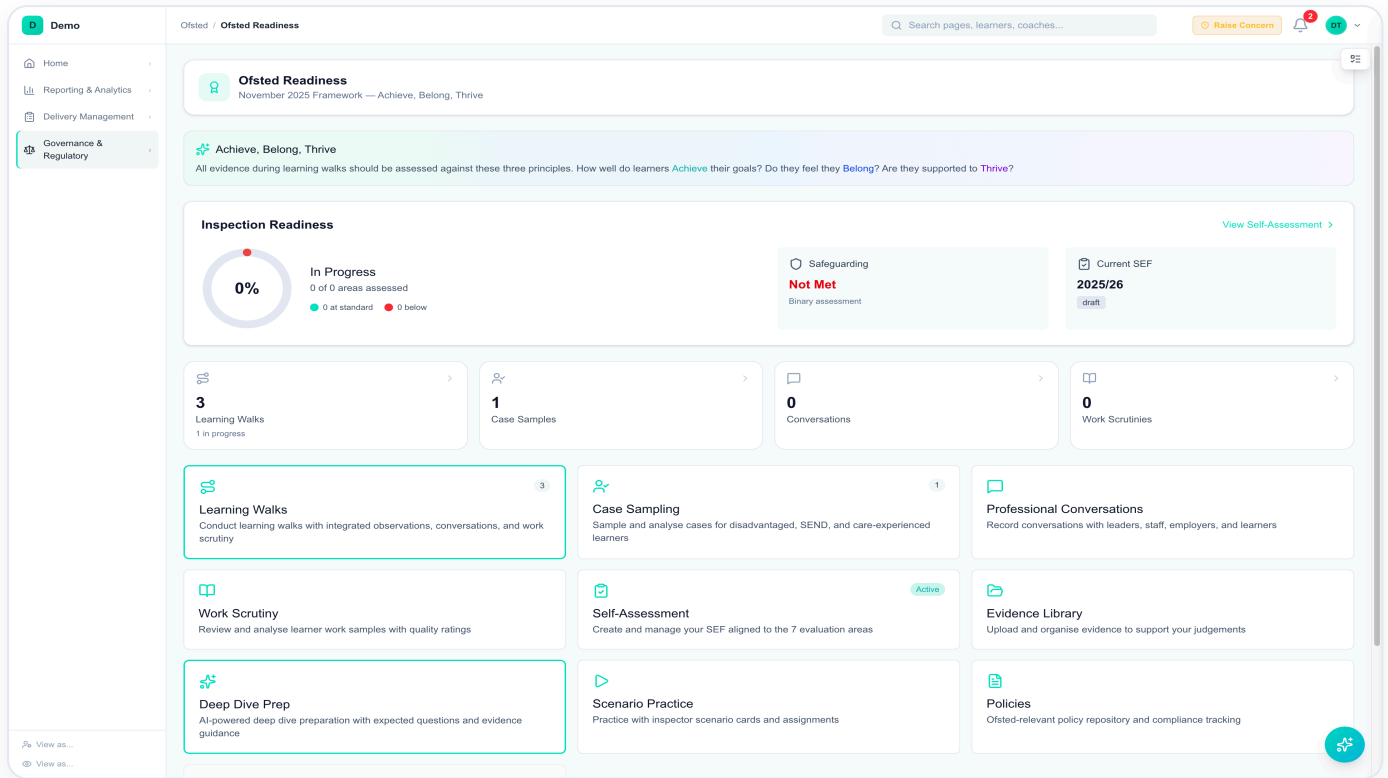
04 FRAMEWORK & RATING SCALE

- **November 2025 framework:** Achieve, Belong, Thrive principles
- **Rating Scale:** 1 Urgent Improvement · 2 Needs Attention · 3 Expected · 4 Strong · 5 Exceptional
- 10 prep modules linked from one screen
- Reports module flagged as "coming soon": everything else live today

OFSTED READINESS · IN PRACTICE

From phone call to deep dive. Without the panic.

How leaders use Ofsted Readiness across the year: and the moment the call comes. AI Deep Dive Prep generates dynamic questions per area; the Readiness Scorecard tells the board where you stand.



Inspection Readiness donut

Overall completion %, areas at/above standard, areas below.

Safeguarding status

Met / Not Met binary assessment: the framework's pass/fail line.

Current SEF

Academic year, status (draft/in review/approved), one click into the SEF.

Achieve, Belong, Thrive banner

The November 2025 framework principles AiVII is built around.

SENIOR LEADER *Monthly governance*

Readiness Scorecard answers the board.

Board meeting. Open Ofsted Readiness. **Overall Readiness 72%**, 14 of 19 areas graded, 11 at/above standard, 3 below. **Safeguarding Met**, current SEF approved. **Next expected inspection: Q2 next year.** Three modules need progress this quarter (Learning Walks, Evidence Library uploads, Scenario Practice rehearsals). Board sees the plan and signs it off in 10 minutes.

QUALITY DIRECTOR *The Ofsted call*

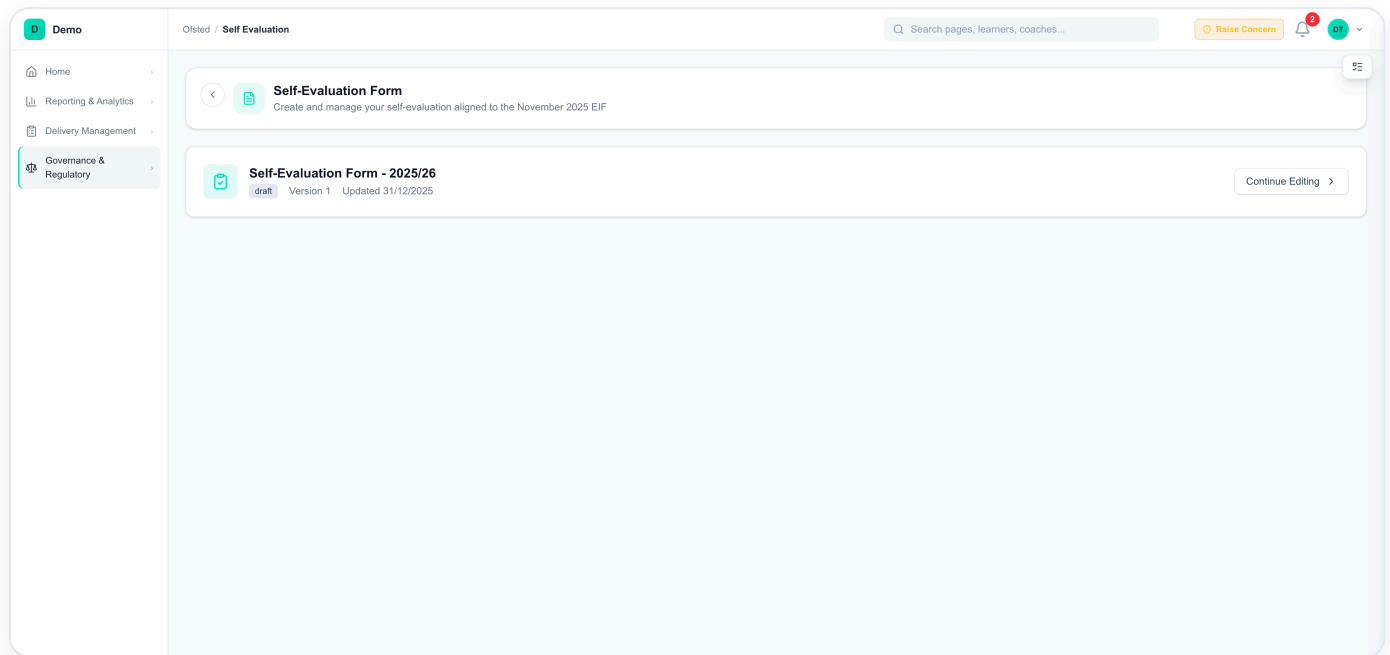
Two hours to brief the team.

Phone call comes Monday. Inspection Tuesday. Open **AI Deep Dive Prep**. AI generates targeted questions per curriculum area based on your live data + SEF + evidence library. **Print briefings** for each manager. Open Scenario Practice: managers rehearse the 3 hardest scenarios. **The team walks in prepared.**

SELF EVALUATION FORM (SEF)

Structured self-evaluation. Scoped to your provision.

The SEF is your structured self-evaluation tool. A wizard scopes the document by provision type. Apprenticeships, 16–18 Study Programmes, Adult Learning, Traineeships, High Needs, ESOL, Community Learning, Employer Provision. The system creates 4 whole-provider areas (Safeguarding, Inclusion, Leadership & Governance, Skills Contribution) plus 3 areas per provision type (Curriculum & Teaching, Achievement, Participation & Development). Each area gets graded with a confidence level, evidence references and review history.



01 WHAT IT ANSWERS

- What's our self-evaluated grade across each EIF area?
- Which areas have we evidenced strongly, which are weak?
- What's our confidence level per area?
- How does this year's SEF compare to previous?
- What's the version history of the live document?

02 KEY FEATURES

- **8 provision types** selectable in creation wizard
- **3 areas per provision** Curriculum / Achievement / Participation
- **Areas Graded (X/Y)** progress indicator
- **Below Standard** red count
- **Per-area: grade + confidence + last reviewed** all visible at glance

STRUCTURED SELF-ASSESSMENT

- **4 whole-provider areas** Safeguarding, Inclusion, L&G, Skills
- **Completion %** across the whole SEF
- **At/Above Standard** green count
- **Safeguarding** Met / Not Met badge
- **Status** draft / in review / approved + version

03 DRILL-THROUGHS

- Click an evaluation area → grade + evidence + review form
- Continue Editing on Current SEF → full document edit mode
- Previous Assessments → archived versions for comparison
- Per-area drill-through to specific provision type

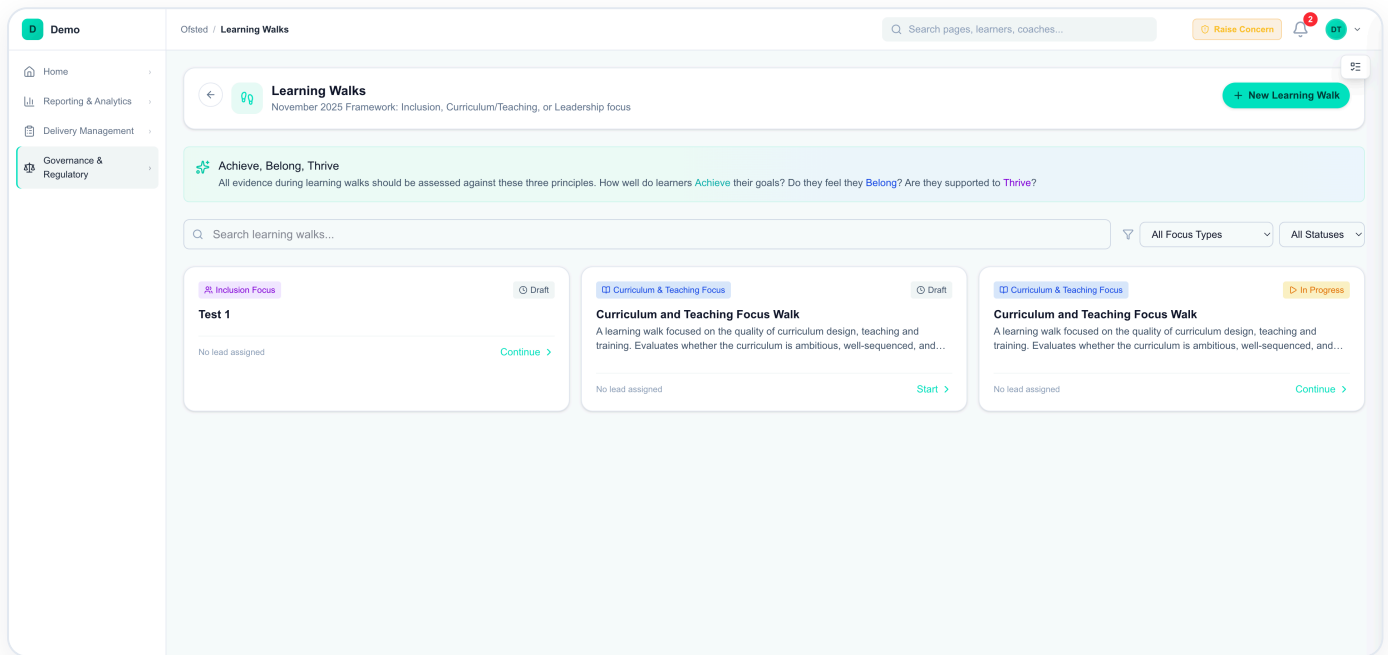
04 WORKFLOW

- **Provision Type Wizard** shows the area count calculation upfront
- **Confidence level** recorded alongside each grade
- **Version control** tracks document evolution year-over-year
- Pairs with Evidence Library so per-area evidence is one click away

OFSTED PREPARATION TOOLS

Six tools that turn inspection prep into a habit.

Six dedicated tools for Ofsted readiness work. **Learning Walks** for inspection-style observations on Inclusion / Curriculum&Teaching / Leadership focus. **Case Sampling** for vulnerable group focus across 5 categories. **Evidence Library** for tagged document, observation and feedback evidence linked to EIF areas. **Scenario Practice** for inspection rehearsal via cards and assignments. **AI Deep Dive Prep** (ControlAI) generates dynamic questions and evidence guidance per curriculum area. **Ofsted Feedback** for stakeholder satisfaction campaigns.



01 WHAT IT ANSWERS

- Have we observed enough learning to evidence Curriculum & Teaching?
- Are we sampling the right vulnerable-group cases?
- Where's the evidence for each EIF area, tagged and accessible?
- Have my managers rehearsed the deep-dive scenarios?
- What does our learner / employer feedback say right now?

03 AI FEATURES (CONTROLAI)

- **AI Deep Dive Prep:** dynamic question generation per curriculum area
- Evidence guidance auto-suggested by area
- Learner impact statements drawn from your real data
- Pairs with Scenario Practice for AI-assisted rehearsal review

02 TOOLS IN THE SUITE

- **Learning Walks** 3 focus types · 5 status states · ABT scoring
- **Evidence Library** 13 evidence types · 7 EIF areas
- **AI Deep Dive Prep** ControlAI: dynamic per area
- **5 Case Sampling categories** Disadvantaged / SEND / Care-Exp / Youth Justice / Other

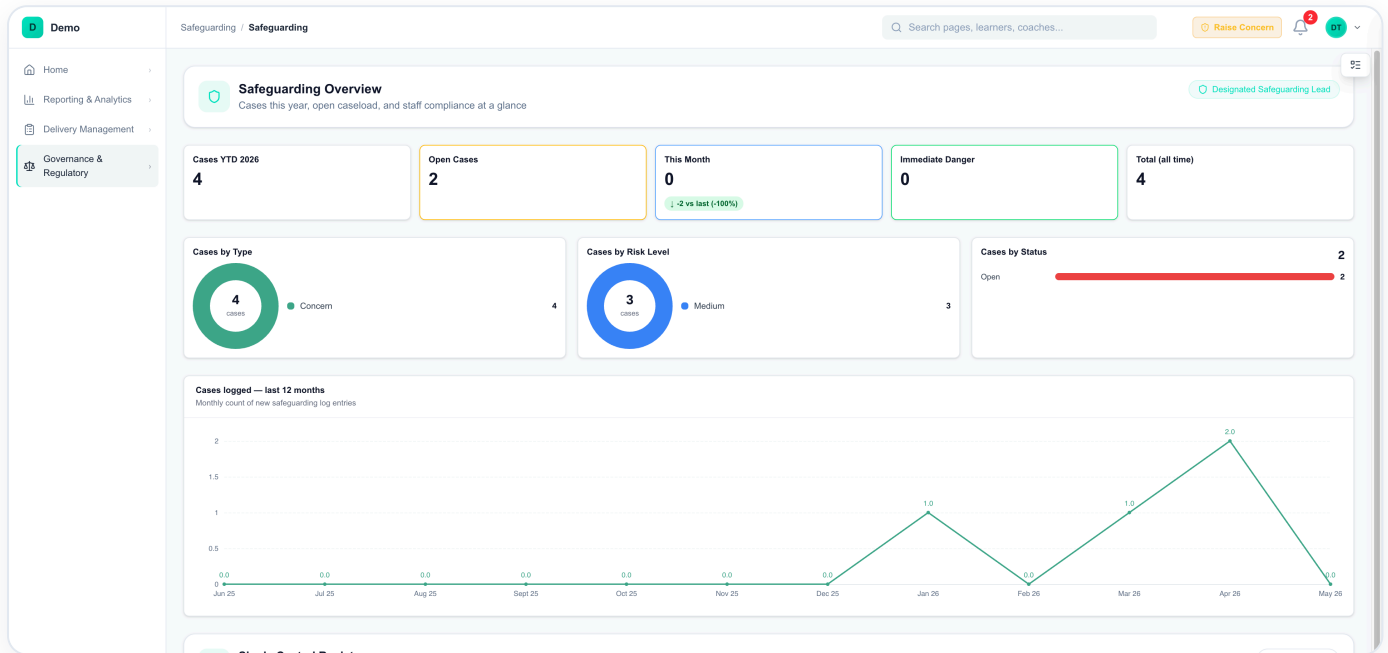
04 FILTERS & WORKFLOW

- **Case Sampling** 5 vulnerable categories · per-case detail
- **Scenario Practice** cards / assignments / reviews
- **Ofsted Feedback** 6 campaign types · learner / employer / both
- **EIF Areas (7)** Safeguarding · Inclusion · L&G · Skills · Curriculum · Achievement · Participation
- **Learning Walks:** Focus filter · Status filter · Search
- **Case Sampling:** Status · Reference / Lead search
- **Evidence Library:** Type / EIF Area / Status filters
- **Feedback:** Campaign type / target / status / response rate

SAFEGUARDING HUB · HEADLINER

The designated lead's daily dashboard.

The Safeguarding Hub is the designated lead's single screen. Cases by type, status, risk level and urgency on four donut charts. Compliance row visualisations show every staff member's DBS, safeguarding training, prevent training, online check, overseas check and right-to-work status: green / amber / red across all dimensions. Trend line chart shows month-over-month case counts. Every sub-module (Safeguarding Log, Risk Assessments, Staff Register, Policies) one click away.



01 WHAT IT ANSWERS

- How many cases are open right now? Any in immediate danger?
- What's the case mix: type, status, risk, urgency?
- Which staff DBS / training records are expired or missing?
- What's the case count trend month-over-month?
- Where do I escalate next?

02 KEY METRICS SHOWN

- **Total Cases** all-time + YTD + month + last month
- **Immediate Danger Cases** critical badge
- **Safeguarding Training** Valid / Expiring / Expired / Not Completed
- **Cases by Type donut** 8 colours
- **Cases by Risk Level donut** Low / Medium / High / Critical
- **Trend Line Chart** month-over-month case counts

14+ WIDGETS

- **Open Cases** live caseload
- **DBS** Valid / Expiring / Expired / Missing
- **Prevent Training** Valid / Expiring / Expired / Not Completed
- **Cases by Status donut** Open / In Progress / Monitoring / Resolved / Archived / Cancelled
- **Cases by Urgency donut** priority distribution
- **Compliance Row Visualisations** DBS · Safeguarding · Prevent · Online · Overseas · RTW

03 DRILL-THROUGHS

- Click a donut segment → cases at that type / status / risk / urgency
- Click a compliance bar → staff with that status (Valid / Expiring / Expired / Missing)
- Sub-module navigation → Safeguarding Log, Risk Assessments, Staff Register, Policies
- Send Invitations flow integrated for safeguarding token feedback

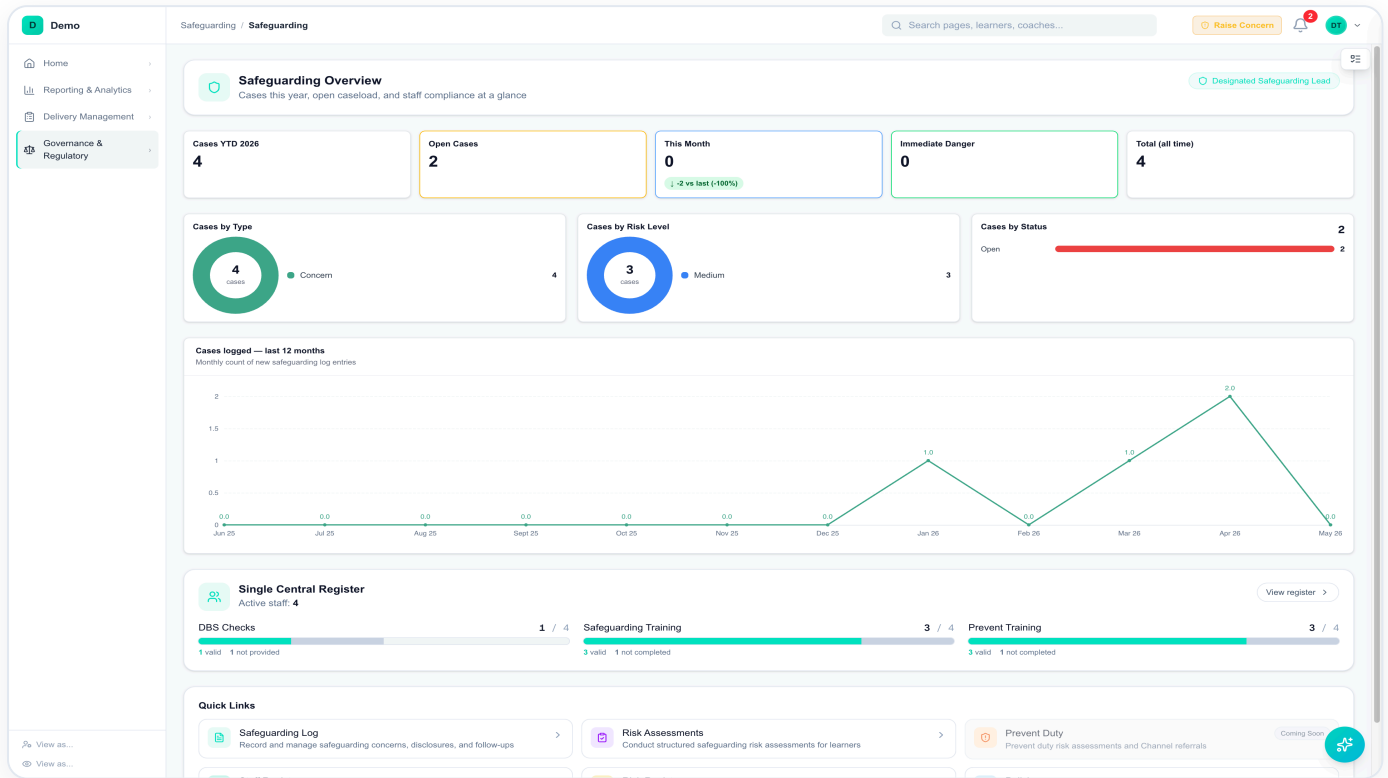
04 ACCESS & SCOPING

- **Designated Lead toggle** controls feature visibility per role
- **Case workflow** covers Open → In Progress → Monitoring → Resolved → Archived
- **Risk levels** enforced: Low / Medium / High / Critical
- Pairs with Staff Register + Risk Register for full evidence

SAFEGUARDING HUB · IN PRACTICE

Designated Lead's daily 5 minutes.

How the Designated Safeguarding Lead and HR run safeguarding compliance day-to-day: case triage, immediate-danger response, expiring training renewals.



Open Cases / Immediate Danger

Live caseload + critical-case flag in the KPI row: the designated lead's 5-minute scan.

Cases by Type donut

Concern / disclosure / wellbeing breakdown: click a segment for named cases.

Cases by Risk Level donut

Low / Medium / High / Critical distribution with click-through.

Cases by Status donut

Open / In Progress / Monitoring / Resolved / Archived / Cancelled.

DESIGNATED LEAD *Daily, 5 min*

Scan caseload. Action overnight changes.

Open Safeguarding Hub at 9am. **Immediate Danger** tile shows 1 critical: click in, escalation in progress, status updated. **Cases by Risk** donut shows 2 new High Risk overnight, click into each, assign next action. **Trend line** shows case volume rising for the second month: flag for the senior team meeting. Five minutes, full case oversight.

HR LEAD *Monthly compliance*

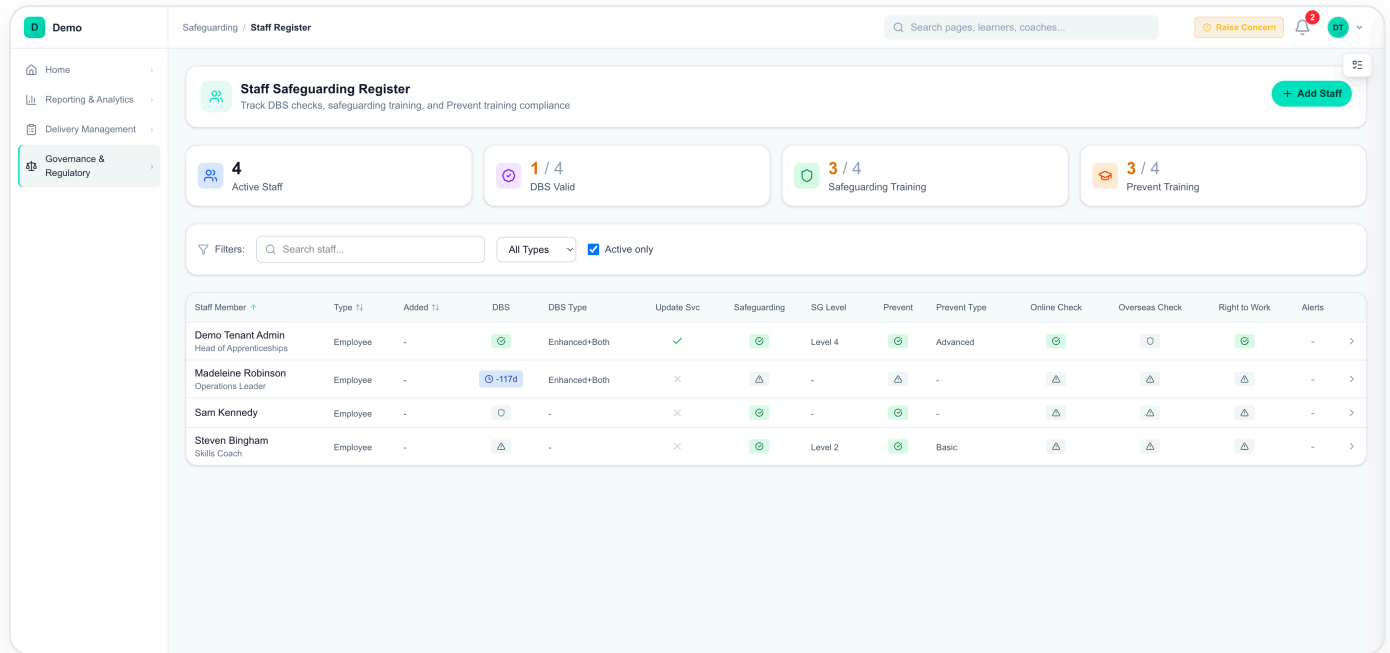
Compliance Row drives the renewal schedule.

Open Safeguarding Hub. **DBS row:** 4 expiring within 30 days, 1 expired. **Safeguarding training row:** 2 expired, 6 due within 60 days. Click each segment → named staff. Schedule renewals, send reminders. **Pre-Ofsted compliance evidence** always green by the time the inspection call comes.

STAFF REGISTER & RISK REGISTER

Two compliance registers, one workflow.

Staff Register tracks every staff member’s DBS, safeguarding and prevent training, online check, overseas check and right-to-work status with expiry dates and alert flags. Sortable, filterable, exportable. **Risk Register** has separate Safeguarding and Prevent tabs with likelihood / impact / controls / mitigation / further-action workflow per entry. Both feed the Safeguarding Hub compliance row visualisations.



01 WHAT IT ANSWERS

- Which staff have valid / expired / missing DBS or training?
- Who needs renewal in the next 30 / 60 / 90 days?
- What’s our overall compliance picture for an Ofsted call?
- What organisational risks are on the safeguarding / prevent register?
- What controls and mitigations are in place per risk?

02 KEY METRICS SHOWN

- **Total Staff / Active Staff** 2 KPIs
- **Safeguarding Training** Valid / Expiring / Expired / Not Completed
- **Online / Overseas / RTW** 3 additional check statuses
- **Risk Register tabs** Safeguarding / Prevent
- **Controls / Mitigation / Further Action** 3 textual fields per entry

2 REGISTERS

- **DBS** Valid / Expiring / Expired / Not Provided
- **Prevent Training** Valid / Expiring / Expired / Not Completed
- **Staff table** ~15 columns sortable, filterable, exportable
- **Risk entry columns** Area / Description / Programme / Likelihood / Impact
- **Last Reviewed / Next Review** date governance per entry

03 DRILL-THROUGHS

- Click a staff row → per-staff compliance detail
- Click an alert → expiring or missing detail
- Click a risk entry → full entry detail with audit trail
- CSV export for board pack / Ofsted evidence

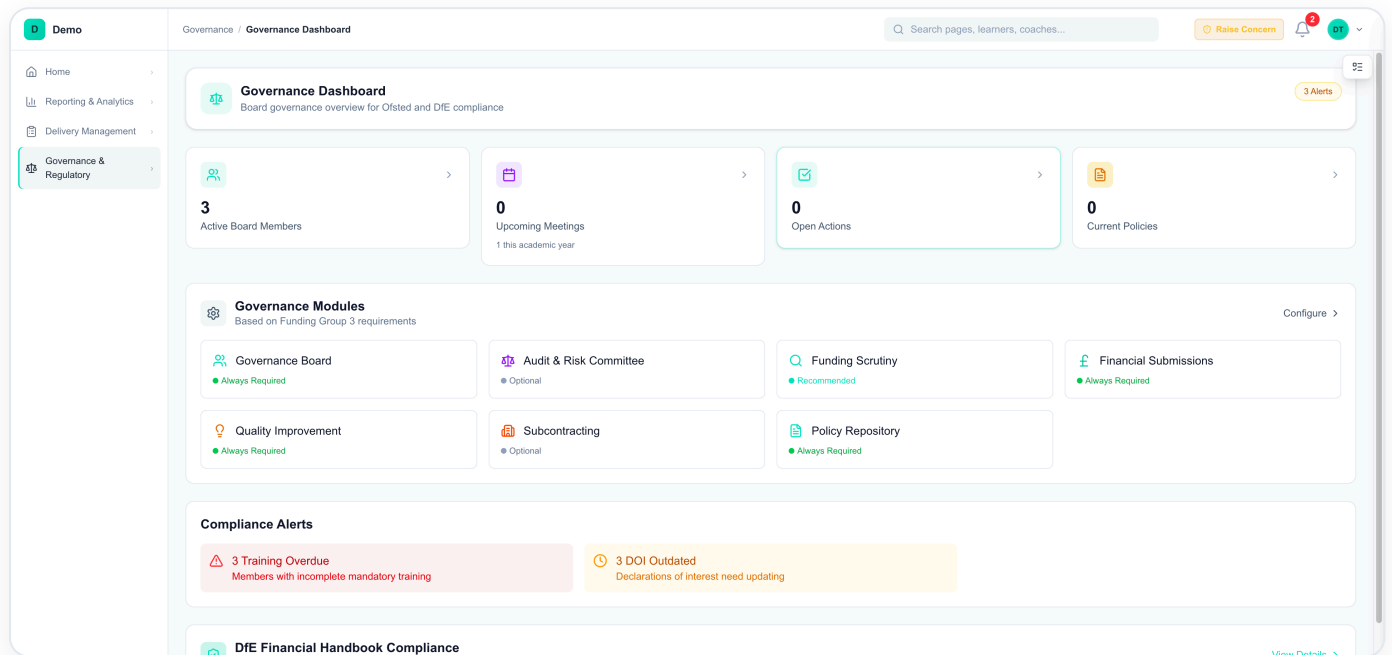
04 FILTERS & WORKFLOW

- **Staff Register filters:** Compliance Status / Employment Type / DBS / Training
- **Risk Register filters:** Status / Type / Likelihood / Impact
- **Mark as Reviewed** action on each risk entry
- **Archive / Delete** with confirmation guard

GOVERNANCE DASHBOARD

Board oversight on a single screen.

Governance Dashboard is the board oversight hub. Active board member count with chair-appointed alert. Upcoming meetings with year context. Open actions with overdue indicator. Current policies with review-due indicator. Overall health status (All Clear / Warnings / Alerts) auto-calculated from expired DBS, training overdue, overdue actions and policies due for review.



01 WHAT IT ANSWERS

- Is our board complete: chair appointed, NEDs in place?
- What meetings are coming up; how many this academic year?
- How many open actions, and how many overdue?
- How many policies are due for review?
- What's our overall governance health right now?

02 KEY METRICS SHOWN

- **Active Board Members** count + no-chair alert
- **Open Actions** count + overdue alert
- **Overall Health Status** All Clear / Warnings / Alerts
- **Board composition status** Chair, NED, etc.
- **Meeting frequency** cadence indicator

4 CARDS + HEALTH

- **Upcoming Meetings** count + this academic year
- **Current Policies** count + due-for-review alert
- **Health calc** DBS + Training + Actions + Policies
- **Financial health indicators** when available
- **DfE compliance group** designation badge

03 DRILL-THROUGHS

- Click Active Members → **Board Members**
- Click Upcoming Meetings → **Board Meetings**
- Click Open Actions → **Action Tracker**
- Click Current Policies → **Policy Repository**

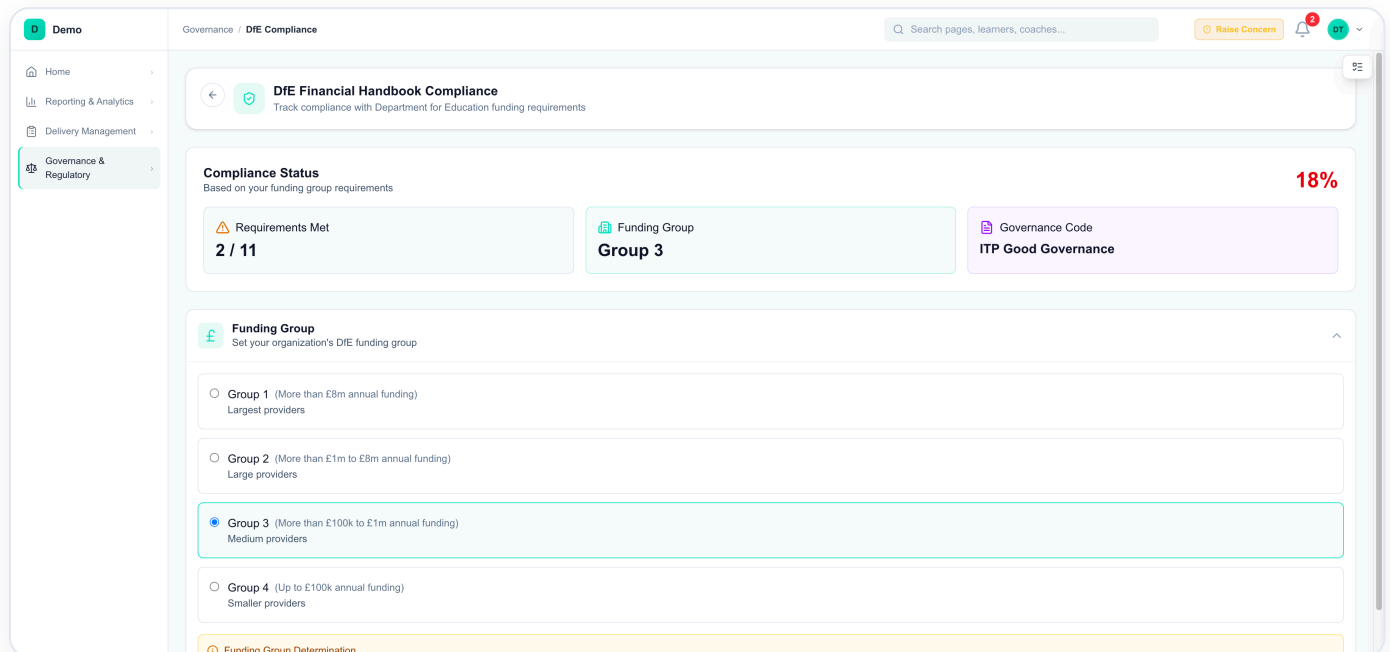
04 HEALTH LOGIC

- **Good (All Clear):** 0 outstanding compliance issues
- **Warning:** 1–3 issues to address
- **Critical (Alerts):** 4+ outstanding
- Counts contributing: expired DBS, training overdue, overdue actions, policies due review

DFE COMPLIANCE

DfE Financial Handbook requirements. Tracked.

DfE Compliance is the structured tracker for the Department for Education funding handbook. Pick your funding group (Group 1 >£8m, Group 2 £1m–£8m, Group 3 £100k–£1m, Group 4 <£100k); declare your governance code (ITP / UK Corp / Charity); track NEDs, board composition, financial year end, accounts submission, committee requirements and supporting documentation. Used by Boards to evidence compliance to ESFA.



01 WHAT IT ANSWERS

- Which DfE funding group are we in, and what does that require?
- Which governance code do we follow?
- Are our NEDs and board composition compliant?
- When are accounts due, and have we submitted?
- Do we need an Audit & Risk Committee?

03 DRILL-THROUGHS

- NED count link → **Board Members** with role filter
- Audit & Risk Committee → **A&RC page**
- Cash Flow Management → financial documentation
- Internal Audit arrangements → arrangements record

02 SECTIONS

- **Funding Group** 1 (>£8m) / 2 / 3 / 4 (<£100k)
- **NEDs & Board Composition** links to Board Members
- **Accounts Submission Deadline** auto-calculated from year end
- **Supporting Documentation** Cash Flow / Mgmt Letter / Risk Reg / BCP / Audit

7 SECTIONS

- **Governance Code** ITP / UK Corp / Charity / Other
- **Financial Year End** month selector
- **Committee Requirements** A&RC required Group 1, recommended Group 2
- **Review Tracking** Last + Next compliance review dates

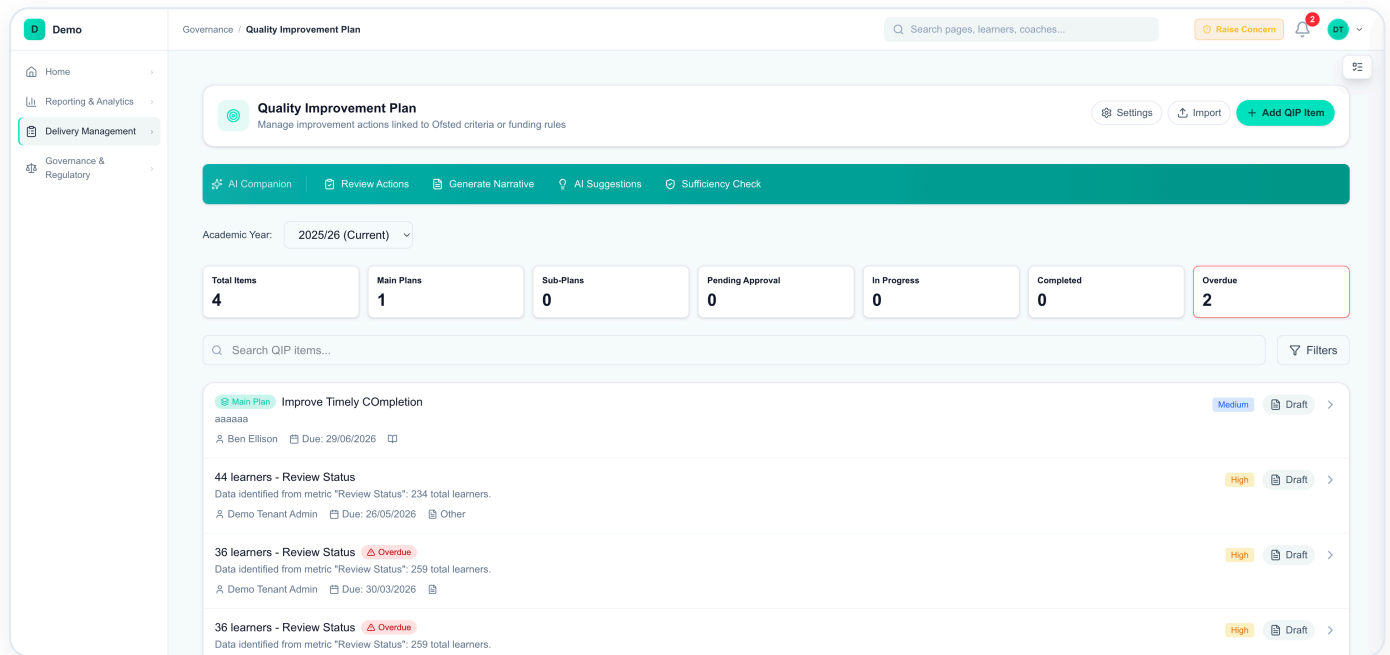
04 WORKFLOW

- **Compliance checkboxes** against each requirement: "evidenced" flag
- **Group-aware requirements:** what's mandatory vs recommended changes by Group
- **Save persists** all selections; review history kept
- Pairs with Financial Submissions for the audit trail

QUALITY IMPROVEMENT PLAN · HEADLINER

From Ofsted finding to closed action. Tracked.

QIP is the action-tracking system for Ofsted Areas for Improvement and funding rule remediation. AI QIP Companion (ControlAI) generates draft plans from your data: suggesting actions, owners, KPIs and target dates. Per-plan detail covers area for improvement, owner, priority (Low / Medium / High / Critical), expected start / end dates, programme, sub-plans, collaborators, Ofsted criteria reference, funding rule reference, activity count, comments and approvals.



01 WHAT IT ANSWERS

- What plans are open, in progress, completed, overdue?
- Who owns each plan and what's their priority?
- Which plans link to specific Ofsted criteria or funding rules?
- What sub-plans, collaborators and activities sit under each?
- What's the approval status: draft, pending, approved?

02 KEY METRICS SHOWN

4 KPIS + ~20 COLUMNS

- **Total / In Progress / Completed / Overdue** 4 headline KPIS
- **Status** 6 states (Draft / Pending / Approved / In Progress / Completed / Cancelled)
- **Owner / Priority** accountability + 4 priority levels
- **Academic Year / Programme / Department / Team** 4 scoping dimensions
- **Ofsted Criteria Reference** area + criterion dropdown
- **Per-plan edit** 4 analysis panels + approval flow

- **Title / Area for Improvement** 2 columns
- **Approval Status** workflow indicator
- **Expected Start / End / Actual Completion** 3 dates
- **Activity Count / Comments / Sub-plans / Collaborators** 4 nested counts
- **Funding Rule Reference** rule + funding type
- **Bulk import / settings** CSV / Excel + system defaults

03 AI FEATURES (CONTROLAI)

- **AI QIP Companion toolbar** at the top of the QIP page
- **AI suggestion prefill** when creating a new plan: populates title, area, owner, dates from your data
- **4 analysis panels** per plan: root-cause / evidence / action / impact
- Pairs with Ofsted Companion for evidence linking

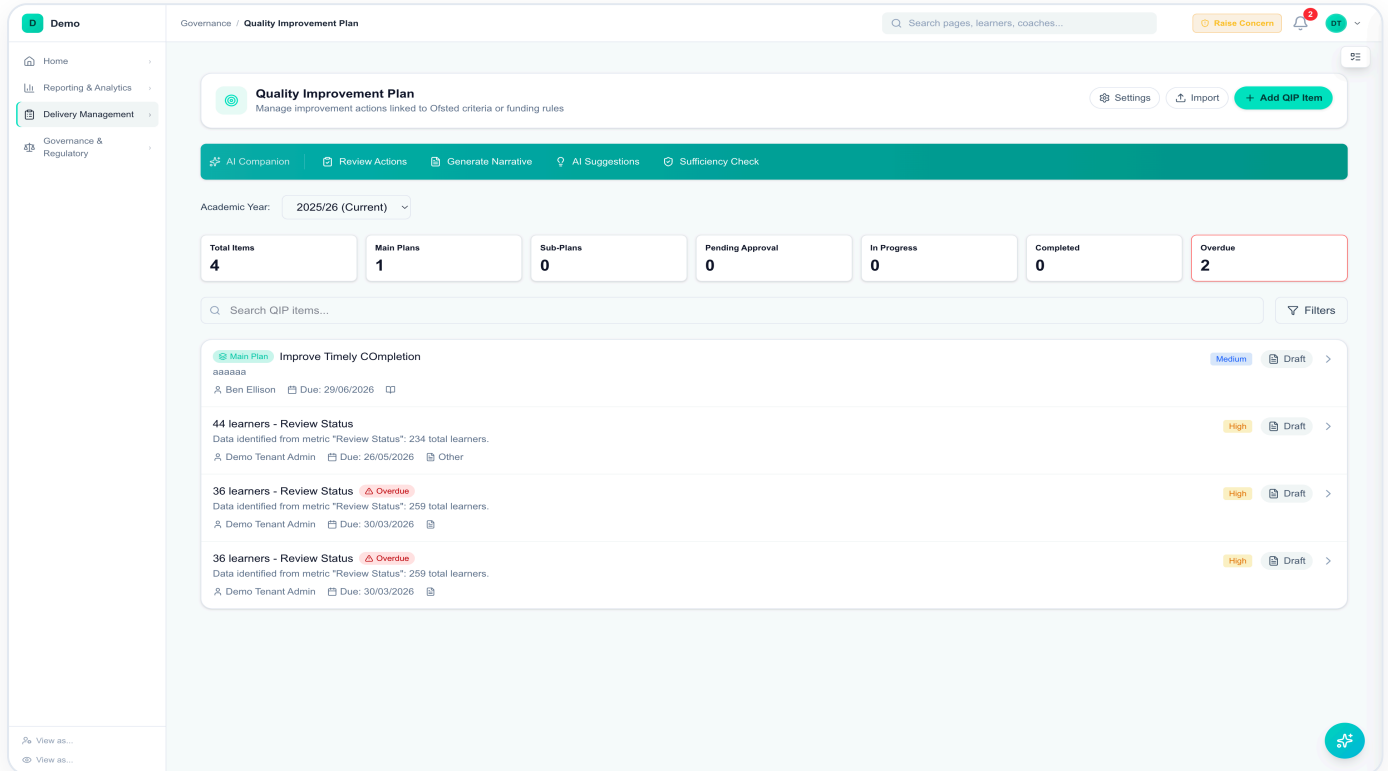
04 FILTERS & SCOPING

- **Search:** title / area / action
- **Filters:** Status / Department / Team / Owner / Link Type / Priority / Progress / Ofsted Area / Academic Year
- **Read-only mode** when displayed in Governance: reference-copy banner
- Read-only mode hides write affordances and drill-throughs

QUALITY IMPROVEMENT PLAN · IN PRACTICE

Ofsted finding to closed action. The workflow.

How quality, leadership and governance use QIP across the lifecycle. AI Companion drafts plans from your data; Ofsted criteria + funding rule references link every plan to its source; approval flow + evidence trail satisfies the auditor.



AI Companion toolbar

ControlAI. AI Companion / Review Actions / Generate Narrative / AI Suggestions / Sufficiency Check.

KPI cards

Total Items / Main Plans / Sub-Plans / Pending Approval / In Progress / Completed / Overdue.

Search QIP items

Free-text search across plan title / area / action.

Filters

Status / Department / Team / Owner / Link Type / Priority / Progress / Ofsted Area / Academic Year.

QUALITY LEAD *Post-inspection*

AI Companion drafts. You edit. You assign.

Inspection report received. **3 Areas for Improvement.** Open QIP, click **New Plan.** AI Companion drafts: title, suggested owner (matched to your team capability data), priority (High based on Ofsted weighting), suggested actions across 4 analysis panels, evidence references pulled from your library. **Edit, approve, distribute.** Three plans live within an afternoon instead of three weeks.

SENIOR LEADER *Quarterly review*

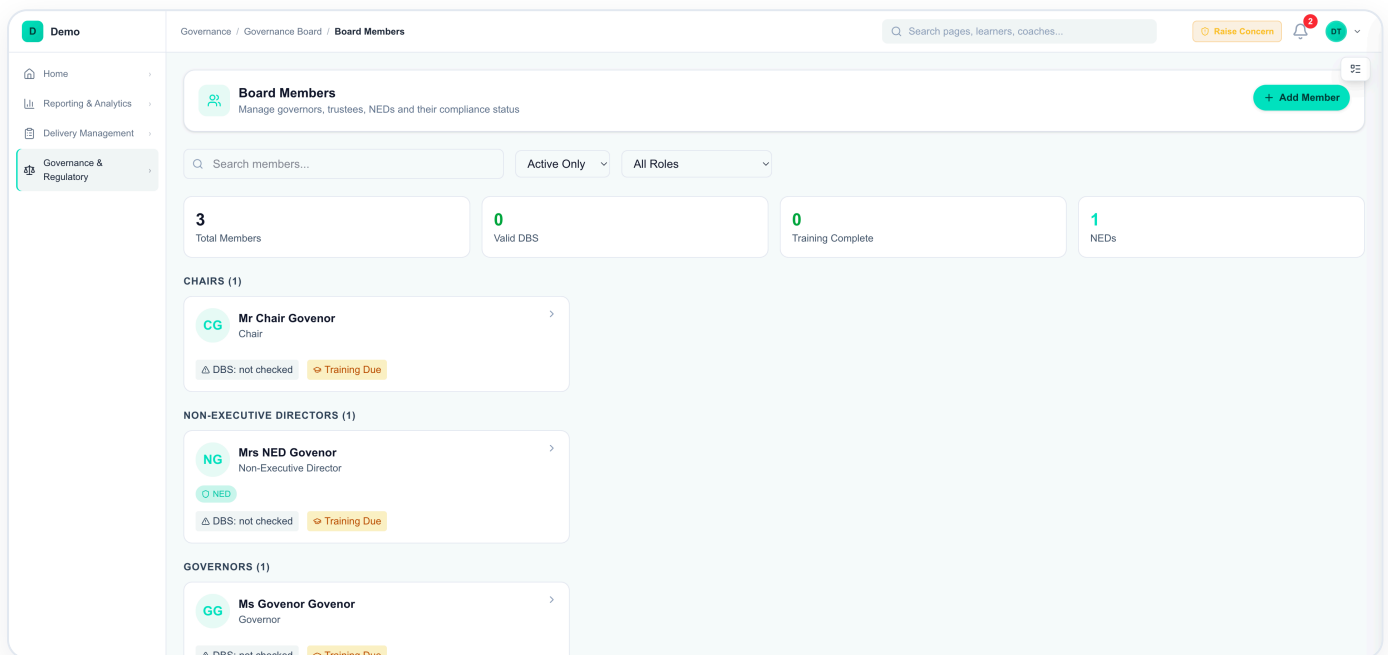
Filter to Critical priority. Surface stalled actions.

Open QIP. Filter Status = In Progress, Priority = Critical. **14 plans.** Sort by Last Updated: 4 plans not updated in 30 days. Click each: 2 are blocked on missing evidence, 2 on owner availability. **Action plan** for the next leadership meeting: unblock these specifically. Followed by an Approval Flow review of plans pending sign-off.

BOARD MANAGEMENT

Governance Board + Audit & Risk Committee. One consistent module.

Two related modules with the same Members / Meetings / Decisions / Actions sub-page structure. **Governance Board** tracks members by role (Chair / Vice Chair / NED / Governor / Trustee / Company Secretary / Clerk / Observer) with DBS status, conflict-of-interest declaration, skills / expertise markers and term tracking. **Audit & Risk Committee** compliance is auto-assessed against four DfE requirements: Has Chair, Has Min 3 Members, Has Financial Expertise, Has Audit Expertise.



01 WHAT IT ANSWERS

- Who's on the board, in what role, when does their term end?
- Is our DBS / conflict-of-interest current for each member?
- Are we A&RC compliant: chair, min 3 members, financial & audit expertise?
- What's open in board / committee meetings and actions?
- What decisions has the board made and when?

03 DRILL-THROUGHS

- Click member → per-member detail (DBS, training, history)
- Click meeting → meeting detail with agenda + minutes
- Click action → action detail with owner, due date, evidence
- Click decision → decision record with outcome and rationale

02 KEY METRICS SHOWN

- **8 board roles** Chair, Vice Chair, NED, Governor, Trustee, Company Sec, Clerk, Observer
- **Conflict of Interest** declaration status
- **Appointed + Term End dates** term lifecycle
- **A&RC sub-pages** Members / Meetings / Decisions / Actions
- **A&RC Compliance Checks** Has Chair? Min 3? Financial? Audit?

2 MODULES × 4 SUB-PAGES

- **DBS tracking** Valid / Expired / Pending / Not Checked + Update Service
- **Skills / Expertise** marker per member
- **Board sub-pages** Members / Meetings / Decisions / Actions
- **A&RC KPIs (4)** Active Members / Upcoming Meetings / Open Actions / Compliance Status
- **Action overdue indicator** on every Actions tab

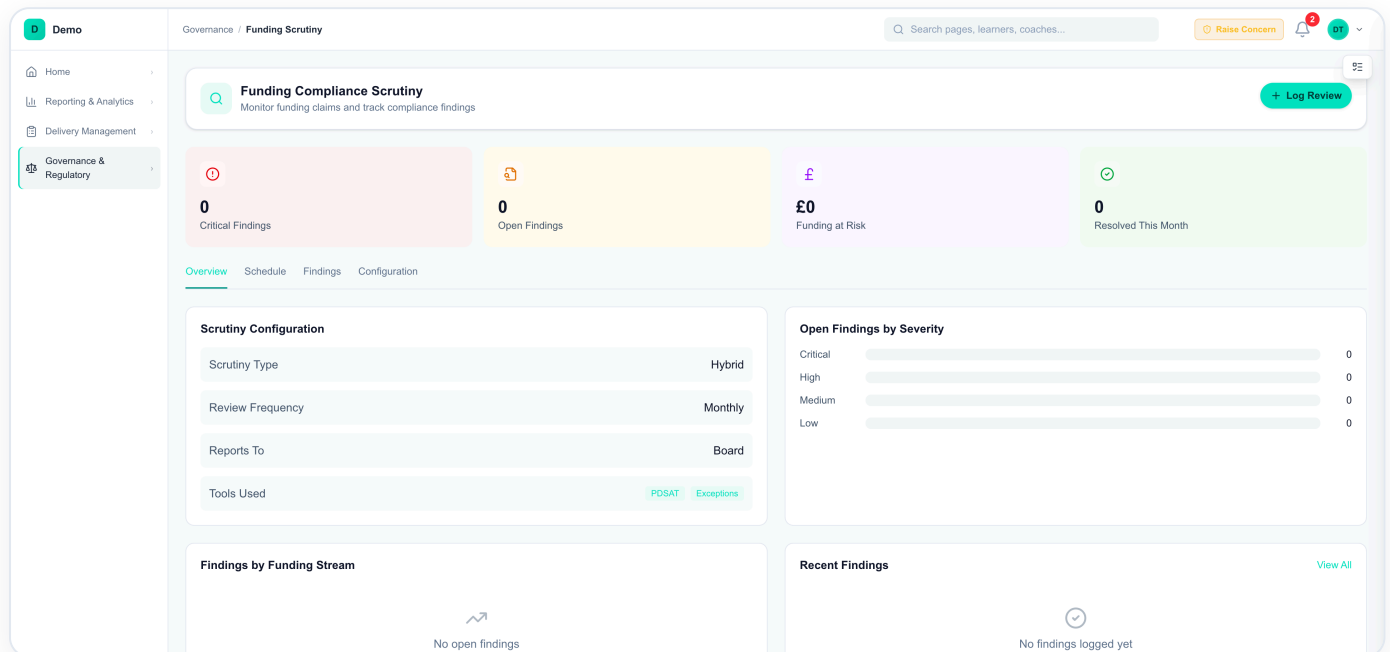
04 FILTERS & ACCESS

- **Filters:** name / email / role search; Role + Status dropdowns
- **A&RC enable/disable banner:** flagged required for Group 1, recommended Group 2
- **Members grouped by role priority:** Chair always first
- **Vacancy indicators:** surface unfilled board seats

FUNDING SCRUTINY · conditional

Funding compliance scrutiny. Required for Groups 1–2.

Funding Scrutiny is the conditional compliance review module: required for funding Groups 1–2, recommended for Group 3. Track scheduled reviews, record findings with severity (Critical / High / Medium / Low), assign remediation owners, monitor £ Funding at Risk across unresolved findings. Tabs cover Overview / Schedule / Findings / Configuration.



01 WHAT IT ANSWERS

- How much funding is at risk across unresolved findings?
- What scrutiny reviews are scheduled and complete?
- Which findings are critical vs high vs medium?
- Who owns each finding remediation?
- What's our compliance trend over time?

02 KEY METRICS SHOWN

5 KPIS + 4 TABS

- **Total Findings** all-time count
- **Critical Findings** red-flag count with alert badge
- **Resolved This Month** progress indicator
- **Status** Open / In Progress / Resolved
- **Schedule view** scheduled / in-progress / completed reviews
- **Open Findings** unresolved
- **Funding at Risk** £ sum of unresolved findings
- **Severity** Critical / High / Medium / Low badge
- **Assigned To / Due Date** accountability + overdue indicator
- **Configuration view** review frequency + team setup

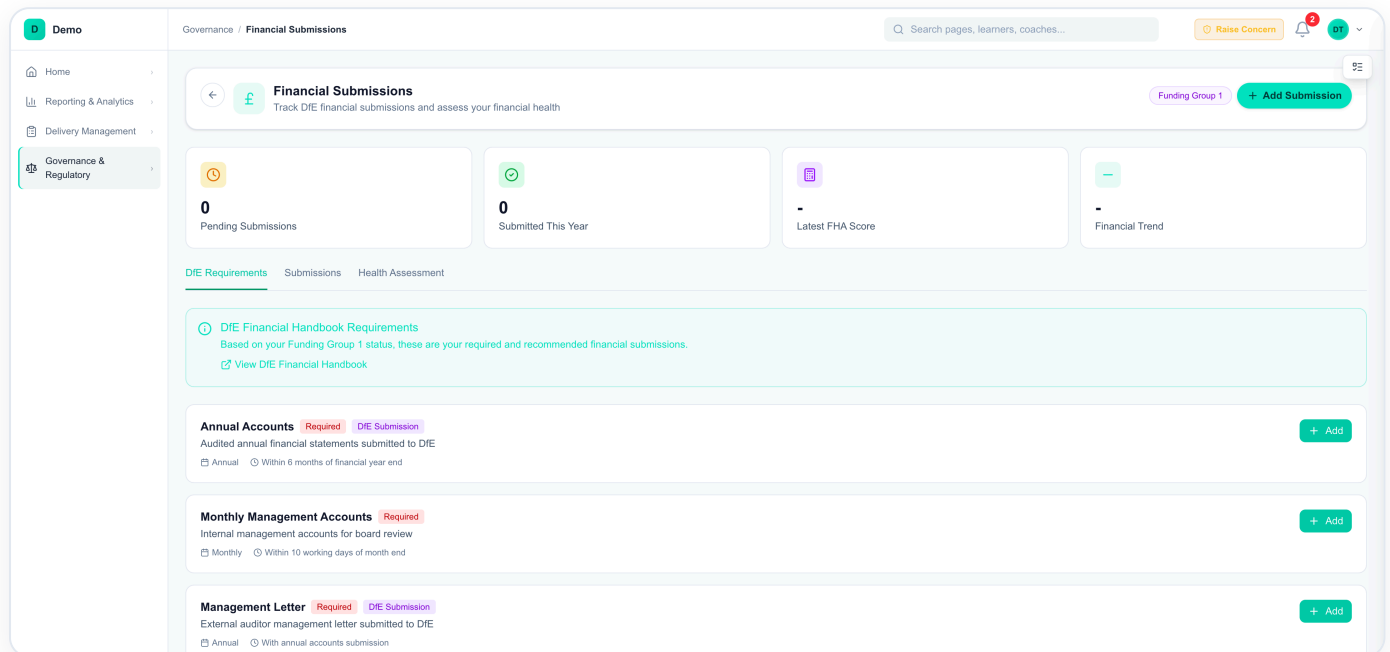
03 DRILL-THROUGHS

- Click a finding → finding detail with evidence + remediation history
- Click a review → review schedule + findings
- Click an overdue indicator → overdue findings filtered
- Schedule new review / Record new finding via dedicated forms

FINANCIAL SUBMISSIONS & POLICY REPOSITORY

DfE submissions tracked. Policies controlled.

Two dedicated tools for DfE compliance evidence. **Financial Submissions** tracks every submission to DfE with deadlines, status (submitted / pending / overdue / rejected), document uploads, and the Financial Health Assessment (FHA) calculator. **Policy Repository** is the version-controlled policy library with categories (Safeguarding / Finance / HR / Governance / Quality), review tracking, approval workflow and document upload.



01 WHAT IT ANSWERS

- What financial submissions are due / overdue / pending?
- What's our Financial Health Assessment score?
- Which policies are due for review this month / quarter?
- What's the version history of each policy?
- Who's approved which policy and when?

03 DRILL-THROUGHS

- Click a submission → detail with documents + status history
- Click FHA Calculator → calculation tool
- Click a policy → per-policy detail + version history + review form
- Approval workflow per policy with reviewer assignments

02 KEY METRICS SHOWN

- **Financial submission history** by year
- **Status** Submitted / Pending / Overdue / Rejected
- **Document upload** PDF / Word
- **Policy categories** Safeguarding / Finance / HR / Governance / Quality
- **Last Reviewed / Next Review Due** 2 date fields per policy
- **Approval workflow** approver + sign-off date
- **Submission deadline tracking** forward-looking due dates
- **FHA Calculator** Financial Health Assessment
- **Compliance timeline** audit trail per submission
- **Version control** major / minor versions
- **Status** Active / Under Review / Archived
- **Owner per policy** accountability

2 MODULES

04 WORKFLOW

- **Submission deadline** auto-calculated from financial year end
- **Policy review reminders:** Next Review Due drives Governance Dashboard alerts
- **Document upload** on every submission and policy
- Pairs with DfE Compliance for full evidence trail

WHAT'S INCLUDED WHERE

Four tiers, plus add-ons.

Every plan is priced per active learner with full MIS integration and onboarding included. Upgrade mid-contract, pro-rated. Add-ons sit outside the tier ladder: they layer onto whichever tier you're on.

FEATURE	CORE	CLARITY	CONTROL	CONTROLAI	ADD-ON
REPORTING ESSENTIALS					
Daily Briefing homepage (role-based)	✓	✓	✓	✓	–
QAR / Accountability Framework / Performance Snapshot	✓	✓	✓	✓	–
Daily Operations / Skills Coach Dashboard / Coach caseload	✓	✓	✓	✓	–
Sales Analytics / Compliance & ILR	✓	✓	✓	✓	–
Learner Detail (360) / Employer Detail	✓	✓	✓	✓	–
MIS integration / Email support	✓	✓	✓	✓	–
ANALYSIS TOOLS					
Analysis dashboards (comparisons, trends)	–	✓	✓	✓	–
Calendar with review tracking	–	✓	✓	✓	–
Learner Outcome Predictions	–	✓	✓	✓	–
Programme KSB gap analysis	–	✓	✓	✓	–
Quality / EPA / EDI / Lifecycle analytics	–	✓	✓	✓	–
Learner & Employer Performance / Location	–	✓	✓	✓	–
FULL PLATFORM MODULES					
Risk Centre (risks, tasks, actions)	–	–	✓	✓	–
Operations Trackers Suite + Inclusion Case Mgmt	–	–	✓	✓	–
Training Module (Courses, Sessions, Venues)	–	–	✓	✓	–
Quality Reviews & QIP module	–	–	✓	✓	–
Sampling & Observations	–	–	✓	✓	–
Ofsted Readiness module + Self Evaluation Form	–	–	✓	✓	–
Safeguarding Hub	–	–	✓	✓	–
Governance & DfE Compliance	–	–	✓	✓	–
Funding & Finance dashboards (incl. ROI)	–	–	✓	✓	–
DM Sales (Pipeline / Targets / Allocations)	–	–	✓	✓	–

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